



UNITED STATES PATENT AND TRADEMARK OFFICE

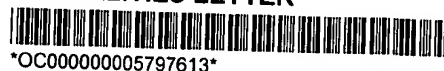


COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/715,775	11/17/2000	Sun-Chueh Kao	2000U034.US

Univation Technologies LLC
5555 San Felipe
Suite 1950
Houston, TX 77056

FORMALITIES LETTER



OC000000005797613

Date Mailed: 02/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2001 WABRHAM1 00000084 500589 09715775

01 FC:105 130.00 CH

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number **09/715,775**
Filing Date **November 17, 2000**
First Named Inventor **Kao, et al.**
Group Art Unit **1755**
Examiner Name **Unassigned**
Attorney Docket Number **2000U0346.US**



Total Number of Pages in This Submission **5**

ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Preliminary Amendment / Response
Non-Fee Amendment
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Documents)
<input checked="" type="checkbox"/> Response to Missing Part/Incomplete Application
<input checked="" type="checkbox"/> Response to Missing Parts | <input type="checkbox"/> Assignment Papers (for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition
<input type="checkbox"/> To Convert a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<u>Return Postcard</u> |
|--|--|--|

REMARKS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Lisa Kimes Jones	Registration No.	41,878
Signature			
Date	March 1, 2001		

CERTIFICATE OF MAILING

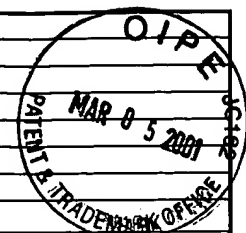
I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **March 1, 2001**

Typed or printed name	Tammy L. Hodges	Date	March 1, 2001
Signature			

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

Complete if Known
Application Number 09/715,775
Filing Date November 17, 2000
Inventors Sun-Chueh Kao, et al.
Group Art Unit 1755
Examiner Name Unassigned
Attorney Docket Number 2000U034.US



Total Amount of Payment (\$130.00)
METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 50-0589

Deposit Account Name: Univation Technologies, LLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101	Utility filing fee	760	
106	Design filing fee	310	
107	Plant filing fee	480	
108	Reissue filing fee	760	
114	Provisional filing fee	150	
SUBTOTAL (1)			

2. CLAIMS

Extra Fee from below Fee Paid
Total Claims - 20 = x 18 =
Independent Claims - 3 = x 78 =
Multiple Dependent Claims x 260.00 =

Large Entity

Fee Code	Fee\$	Fee Description
103	18	Claims in excess of 20
102	78	Independent claims in excess of 3
104	260	Multiple dependent claim
109	78	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge - late filing fee or oath	130.00
127	50	Surcharge - late provisional filing or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	380	Extension for reply within second month	
117	870	Extension for reply within third month	
118	1,360	Extension for reply within fourth month	
128	1,850	Extension for reply within fifth month	
119	300	Notice of Appeal	
120	300	Filing a brief in support of an appeal	
121	260	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,210	Petition to revive - unintentional	
142	1,210	Utility issue fee (or reissue)	
143	430	Design issue fee	
144	580	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of information Disclosure Stmt.	
581	40	Recording each patent assignment per property (times number of properties)	
146	760	Filing a submission after final rejection (37 CFR 1.129(a))	
Other fee (specify) * Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			130.00

Typed or Printed Name Lisa Kimes Jones

Reg. No. 41,878

Signature

Date March 1, 2001

Deposit Account User ID